

A/Re

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PTO/SB/50 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0033  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
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## REISSUE PATENT APPLICATION TRANSMITTAL

Address to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Attorney Docket No.	802-04RE
First Named Inventor	Brenner
Original Patent Number	5,654,413
Original Patent Issue Date (Month/Day/Year)	Aug. 5, 1997
Express Mail Label No.	EH 335 999 594 US

APPLICATION FOR REISSUE OF:  
(check applicable box)



Utility Patent



Design Patent



Plant Patent

### APPLICATION ELEMENTS

- ☒ \* Fee Transmittal Form (PTO/SB/56)  
(Submit an original, and a duplicate for fee processing)
- ☒ Specification and Claims (amended, if appropriate)
- ☒ Drawing(s) (proposed amendments, if appropriate)
- ☒ Reissue Oath / Declaration (original or copy)  
(37 C.F.R. § 1.175)(PTO/SB/51 or 52)
- Original U.S. Patent  
☒ Offer to Surrender Original Patent (37 C.F.R. § 1.178)  
(PTO/SB/53 or PTO/SB/54)  
or  
☐ Ribbioned Original Patent Grant  
☐ Affidavit / Declaration of Loss (PTO/SB/55)
- Original U.S. Patent currently assigned?  
☒ Yes ☐ No  
(If Yes, check applicable box(es))  
☒ Written Consent of all Assignees (PTO/SB/53 or 54)  
☒ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney

### ACCOMPANYING APPLICATION PARTS

- ☐ Foreign Priority Claim (35 U.S.C. 119)  
(if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- ☐ English Translation of Reissue Oath/Declaration  
(if applicable)  
\* Small Entity ☐ Statement filed in prior application,  
Statement(s) ☐ Status still proper and desired  
(PTO/SB/09-12)
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☒ Other: Cert. Express Mail Service

\* NOTE FOR ITEMS 1 & 10: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

### 14. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	Stephen C. Macevicz				
	Lynx Therapeutics, Inc.				
Address	25861 Industrial Blvd.				
City	Hayward	State	CA	Zip Code	94545
Country	USA	Telephone	510-670-9365	Fax	510-670-9302

NAME (Print/Type)	Stephen C. Macevicz	Registration No. (Attorney/Agent)	30,285
Signature		Date	8/2/99

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## REISSUE APPLICATION FEE TRANSMITTAL FORM

Docket Number (Optional)

802-04RE

## Claims as Filed - Part 1

Claims in Patent	For	Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity	
				Rate	Fee	Rate	Fee
(A) 4	Total Claims (37 CFR 1.16(j))	(B) 13	**** 9 =	x \$	=	or	x \$ 18. = 162.-
(C) 1	Independent Claims (37 CFR 1.16(i))	(D) 3	* 2 =	x \$	=	or	x \$ 78. = 156.-
Basic Fee (37 CFR 1.16(h))					\$		\$ 760.-
Total Filing Fee					\$	OR	\$ 1078.-

## Claims as Amended - Part 2

	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	***	MINUS	**	=	x \$	=	or	x \$ =
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	x \$	=	or	x \$ =
Total Additional Fee					\$	OR	\$	

\* If the entry in (D) is less than the entry in (C), Write "0" in column 3.

\*\* If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.

\*\*\* After any cancelation of claims

\*\*\*\* If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).

\*\*\*\*\* "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).

☒ Please charge Deposit Account No. 12-2491 in the amount of \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.

☐ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.

☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing / additional fee is enclosed.

2 August 1999  
Date

Stephen C. Macevicz  
Signature of Applicant, Attorney or Agent of Record

Stephen C. Macevicz, Reg. No. 30,285

Typed or printed name

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventors: Brenner

Patent No: 5,654,413

Group Art Unit:

Issued: 5 August 1997

Examiner:

Title: COMPOSITIONS FOR SORTING POLYNUCLEOTIDES

**CERTIFICATION OF EXPRESS MAIL SERVICE**

**UNDER 37 CFR 1.10**

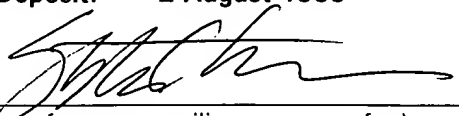
Assistant Commissioner of Patents  
Washington, D. C. 20231

Sir:

I hereby certify that the enclosed papers or fees identified below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated below and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

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Date of Deposit: 2 August 1999

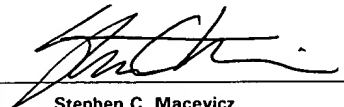
  
(Signature of person mailing paper or fee)

Stephen C. Macevicz  
(Typed or printed name of person mailing paper or fee)

**ITEMS ENCLOSED:**

Reissue application  
Transmittal (form PTO/SB/50)  
Fee Transmittal Form with Fee Authorization (form PTO/SB/56)  
Preliminary Amendment  
Declaration by Assignee (form PTO/SB/52)  
Offer to Surrender Patent (form PTO/SB/54)  
Statement under 37 CFR 3.73 (b)  
Information Disclosure Statement w/references

**CERTIFICATION OF MAILING BY "EXPRESS MAIL"**

Express Mail Label No. <b>EH 335 999 594 US</b>	I hereby certify that this paper or fee and accompanying documents referred to below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.
Date of Deposit: <b>2 August 1999</b>	
 Stephen C. Macevicz	

Case No. 802-04RE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventors: **Brenner**

Patent No: **5,654,413**

Group Art Unit:

Issued: **5 August 1997**

Examiner:

Title: **COMPOSITIONS FOR SORTING POLYNUCLEOTIDES**

**Statement Under 37 C.F.R. 3.73 (b)**


Assistant Commissioner of Patents  
Washington, D. C. 20231

Sir:

Assignee of the above patent, Lynx Therapeutics, Inc., has authority to submit the reissue application enclosed herewith based on the following assignments recorded on the indicated dates in the U.S. Patent and Trademark Office:

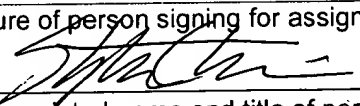
Assignor	Assignee	Recorded	Reel/Frame
1. Sydney Brenner	Lynx Therapeutics, Inc.	18 January 1996	7776/0985
2. Lynx Therapeutics, Inc.	Spectragen, Inc.	18 January 1996	7777/0020
3. Spectragen, Inc.	Lynx Therapeutics, Inc.	10 June 1997	8605/0332

Respectfully submitted,

  
Stephen C. Macevicz  
Reg. No. 30,285  
Attorney for Assignee

662650-18099600

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<b>REISSUE APPLICATION BY THE ASSIGNEE, OFFER TO SURRENDER PATENT</b>		Docket Number (Optional)  802-04RE
<p>This is part of the application for a reissue patent based on the original patent identified below.</p>		
Name of Patentee(s): <b>Sydney Brenner</b>		
Patent Number <b>5,654,413</b>	Date Patent Issued <b>August 5, 1997</b>	
Title of Invention <b>Compositions for Sorting Polynucleotides</b>		
<p><u><b>Lynx Therapeutics, Inc.</b></u> is the assignee of the entire interest in the original patent.</p> <p>I offer to surrender the original patent.</p> <p><input checked="" type="checkbox"/> A certificate under 37 CFR 3.73(b) is attached.</p> <p>I am authorized to act on behalf of the assignee.</p>		
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application, any patent issued thereon, or any patent to which this declaration is directed.</p>		
Name of assignee <b>Lynx Therapeutics, Inc.</b>		
Signature of person signing for assignee 	Date <b>2 August 1999</b>	
Typed or printed name and title of person signing for assignee <b>Stephen C. Macevicz, Vice President Intellectual Property</b>		

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